



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

3-20-00

Date


Lisa Napoli

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jàn Burian and Daniel Bartfeld
Application No. : 09/444,281
Filed : November 19, 1999
For : EFFICIENT METHODS FOR PRODUCING
ANTI-MICROBIAL CATIONIC PEPTIDES IN HOST CELLS
Docket No. : 660081.411
Date : March 20, 2000

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents:

In response to the Notice to File Missing Parts dated December 21, 1999, please find enclosed a Declaration and Power of Attorney, Form PTO-1533, and a Verified Statement Claiming Small Entity Status for the above-identified application.

The Declaration is being filed under M.P.E.P. § 601.01(a)(6). The registered attorney signing below hereby states that the application filed in the U.S. Patent and Trademark Office on November 19, 1999 is the application which the inventors executed by signing the enclosed Declaration.

Also enclosed is a General Authorization under 37 C.F.R. § 1.136(a)(3).

Also enclosed is a check in the amount of \$537.00 for the requisite fees. The fees have been calculated in accordance with applicant's small entity status.

The fees have been calculated below after taking into consideration the enclosed Preliminary Amendment:

The fees have been calculated as follows:

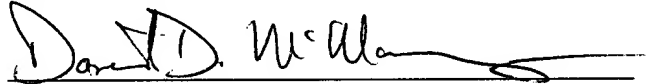
Basic Fee	\$ 345.00
Total Claims (28, 8 extra)	72.00
Independent Claims (1, -0- extra)	-0-
Multiple Dependent Claims Surcharge	-0-
Missing Parts Surcharge	65.00
Total	\$ 482.00
Extension-of-Time Fee	\$ 55.00
TOTAL	\$ 537.00

The Assistant Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,

Jàn Burian and Daniel Bartfeld

Seed Intellectual Property Law Group PLLC



David D. McMasters

Registration No. 33,963

DDM:lmn

Enclosures:

Postcard

Check

Copy of this Response

Declaration and Power of Attorney

Verified Statement Claiming Small Entity Status

Copy of Form PTO-1533

General Authorization Under 37 C.F.R. § 1.136(a)(3)

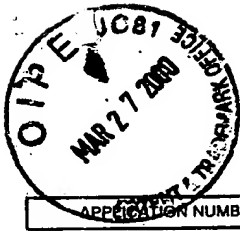
701 Fifth Avenue, Suite 6300

Seattle, Washington 98104-7092

Phone: (206) 622-4900

Fax: (206) 682-6031

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/444,281 11/19/99 BURIAN J 660081.411

RECEIVED

DEC 27 1999

SEED & BERRY LLP

NOT ASSIGNED

1744

DATE MAILED:

12/21/99

DAVID D MCMASTERS
SEED AND BERRY LLP
701 FIFTH AVENUE
SUITE 6300
SEATTLE WA 98104-7092

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1,034.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 144.00 for 8 total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Everett Williams

Customer Service Center
Initial Patent Examination Division (703) 308-1202

03/29/2000 MWR/MDL 00000061 191090 09/444281
05FC:205 65.00 00
05FC:203 72.00 00
05FC:201 345.00 00
35.00 CH